Dynamics of transport barrier relaxations in tokamak edge plasmas

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Introduction

- The operational regime of future fusion reactors is characterized by
 - an edge transport barrier,
 - relaxation oscillations of the barrier (Edge Localized Modes, ELMs).
- Explanations for relaxations are usually based on MHD instability,



- Most existing dynamical models are phenomenological,
 - not based on 1st principles, i.e. turbulence simulations.
- Frequency, crash time and energy release are central issues.



Outline

- Overview of existing reduced dynamical models for transport barrier relaxations.
- 3D fluid turbulence simulations.
- Subsequent reduced 1D model.
- Systematic reduction \rightarrow 0D model.

Reduced models for barrier dynamics: not straightforward

• simple model (1D):

transport eqn. coupled to instability amplitude eqn. at plasma edge

$$\begin{aligned} \partial_t \bar{p} &= -\partial_x \left(\chi_0 \bar{\pi} + \chi_1 |\xi|^2 \bar{\pi} - \Gamma \right) \\ \partial_t \xi &= \gamma_0 \left(\bar{\pi} - \alpha_c \right) \xi + \nu_0 \partial_x^2 \xi \end{aligned} \\ \end{aligned}$$

$$\begin{aligned} \bar{\pi} &= -\partial_x \bar{p} \end{aligned}$$



- \bar{p} : pressure profile, ξ : perturbation ampl.,
- Γ : incoming energy flux, *x*: minor radius
- no oscillations, stable fixed point, robust property

Possible modifications to obtain oscillations or relaxations

- Introduction of S-curve
 - for dependency of flux vs gradient (due to ExB shear flow),
 - in dynamical eqn. for perturbation amplitude (explosive instability),
 - in dynamical eqn. for ExB shear flow (multiple states: L-H).
- Introduction of characteristics of ideal MHD eigenmodes
 - vanishing growth rate below threshold,
 - radial shape of global modes.

S-curve for flux vs gradient produces relaxations

 $\bar{\pi} = -\partial_x \bar{p}$

- Introduce ambient turbulent flux Γ_{turb} due to drift waves, etc.
- $\tilde{\Phi}(\bar{\pi}) = \Gamma_{turb}(\bar{\pi}) + \chi_0 \bar{\pi}$: S-curve due to turb. stabilization by ExB shear flow.

$$\partial_t \bar{p} = -\partial_x \left[\tilde{\Phi}(\bar{\pi}) + \chi_1 |\xi|^2 \bar{\pi} - \Gamma \right]
\partial_t \xi = \gamma_0 (\bar{\pi} - \alpha_c) \xi + \nu_0 \partial_x^2 \xi$$

- Relaxations, frequency \nearrow with power.
- More sophisticated models available.



Lebedev, Diamond, PoP 95

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Explosive instability

 Account for non-linear terms in amplitude equation: first is destabilizing, second is stabilizing.

$$\begin{aligned} \partial_t \bar{p} &= -\partial_x \left(\chi_0 \bar{\pi} + \chi_1 |\xi|^2 \bar{\pi} - \Gamma \right) \\ \partial_t \xi &= \gamma_0 \left(\bar{\pi} - \alpha_c \right) \xi + \mu \xi^2 - \nu \xi^3 \\ \end{aligned}$$

$$\begin{aligned} \bar{\pi} &= -\partial_x \bar{p} \end{aligned}$$

• Dynamics close to Van der Pol oscillations.

Cowley, Wilson, PPCF 03

Multiple states for shear flow

 $\left| \bar{\pi} = -\partial_x \bar{p} \right|$

• L–H transition: multiple states for ExB shear flow $\bar{u}(\bar{\pi})$, and: effective flux $\chi_{eff}(\bar{u})\bar{\pi}$ depends on shear flow.

$$\partial_t \bar{p} = -\partial_x \left[\chi_{\text{eff}} \left(\bar{u} \right) \bar{\pi} - \Gamma \right] \partial_t \bar{u} = -(\bar{\pi} - \alpha_c) - \mu_1 \bar{u}^3 + \mu_2 \bar{u} + \nu \partial_x^2 \bar{u}$$

- Ginzburg–Landau type, limit cycle oscillations.
- No perturbation amplitude, more appropriate for "dithering".
- Generalization to ELMs available.

Itoh, Itoh, PRL 91, PRL 95

Linear ideal MHD instability model

- Linear ideal MHD eigenmodes:
 - growth rate pprox 0 below threshold,
 - global mode structure.
- Modeled by
 - Heaviside funct. H on growth rate,
 - Gaussian shape G in eff. diffusivity

$$\begin{split} \partial_t \xi &= \gamma_0 \left(\bar{\pi} - \alpha_c \right) H \left(\bar{\pi} - \alpha_c \right) \xi \\ &\quad - \nu \left(\xi - \xi_0 \right) \\ \text{+ transp. code with } \chi_{\text{eff}} & \propto |\xi|^2 \, G(x) \end{split}$$

- Relaxations, frequency \nearrow with power.
- More sophisticated models (peeling).



Lönnroth, Parail, PPCF 04 Bécoulet, Huysmans, EPS 03

State of the art

- Most existing models are phenomenological.
- Difficult to reproduce relaxations with frequency \searrow with power.
- Turbulence simulations of relaxations exist, based on turbulent ExB flow generation, no barrier.
- Need for 1st principles based model, i.e. 3D turbulence simulations, reproducing i) transport barrier ii) complete relaxation cycle.

3D edge turbulence simulations with transport barrier

 turbulence model: resistive ball. modes, reduced MHD equations

$$\partial_t \nabla_{\perp}^2 \phi + \left\{ \phi, \nabla_{\perp}^2 \phi \right\} = -\nabla_{\parallel}^2 \phi - \mathbf{G}p + \nu \nabla_{\perp}^4 \phi$$
$$\partial_t p + \left\{ \phi, p \right\} = \delta_c \mathbf{G} \phi + \chi_{\parallel} \nabla_{\parallel}^2 p + \chi_{\perp} \nabla_{\perp}^2 p + S$$

- 3D toroidal geometry at plasma edge
- driven by incoming flux $\Gamma_{in} = \int_{r_{min}}^{r} S dr'$, press. profile evolves self-consistently
- barrier generated by imposed flow U, locally sheared, $\omega_{Eext} = (\partial_r U)_{max}$





Strong local ExB shear \rightarrow formation of barrier



Barrier relaxation oscillations appear



1. $\left|\partial_x \bar{p}\right| / (\Gamma_{in} / \chi_{\perp})$

- 2. $\Gamma_{turb}/\Gamma_{in}$
- 3. $(\omega_E \omega_{Eext}) / \omega_{Eext}$ [fixed to 0 for $t \ge 10^4$]
- all evaluated at barrier center
- observed in a range of Γ_{in} , ω_{Eext} • robust property scenario: $turb. state \rightarrow relaxations \rightarrow quiescent st.$ $urb. state \rightarrow relaxations \rightarrow quiescent st.$ $urb. state \rightarrow relaxations \rightarrow quiescent st.$

Fixed input power: frequency decreases with shear



Fixed power: freq. \searrow shear ; fixed shear : freq. \nearrow power



Frequency dependence: two opposite trends

inverse averaged time lag between relaxations

shear layer width: $0.12L_x$ (-), $0.1L_x$ (- -)

Frequency dependence: two opposite trends

if ω_E increases fast enough with $\Gamma_{in} \rightarrow frequency decreases with <math>\Gamma_{in}$.

Relative drop of confinement time: \nearrow shear

Possible relaxation mechanisms excluded

- Relaxations persist even if ExB shear flow is frozen
 - \rightarrow mechanism \neq turbulent shear flow generation.
- No significant variation of modes localized outside barrier
 - \rightarrow mechanism \neq toroidal mode coupling.
- All fluctuations die out when suppressing curvature
 - \rightarrow Kelvin–Helmholtz stable.

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Relaxation event propagates radially away from barrier center

mean pressure gradient versus radius and time

Relaxation governed by mode at barrier center

snapshots of potential fluctuations

between relaxations

during relaxation

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Relaxation governed by mode at barrier center

rms pressure fluctuations

Less regular relaxation for barrier at q = 2.7

1D model for central mode amplitude $\tilde{p}(x,t)$ & profile $\bar{p}(x,t)$

$$\partial_t \bar{p} = -2\gamma_0 \partial_x |\tilde{p}|^2 + \chi_\perp \partial_x^2 \bar{p} + S$$

$$\partial_t \tilde{p} = \gamma_0 \left(-\partial_x \bar{p} - \alpha_0\right) \tilde{p} - \mathbf{i} \omega'_E x \tilde{p} - \chi'_{||} x^2 \tilde{p} + \chi_\perp \partial_x^2 \tilde{p}$$

 $x = r - r_0$: radial dist. from barrier center *m*: poloidal wavenumber of central mode

- reproduces relaxation oscillations
- ExB shear $\omega'_E = \omega_E m / r_0$ \rightarrow nonlinear short-term dynamics.
- Not described by linear modes (long-term dynamics).

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Description by linear modes is not appropriate

For $\partial_x \bar{p} = -\alpha$, evolution equation for \tilde{p} is linear:

$$\partial_t \tilde{p} = \gamma_0 \left(\alpha - \alpha_0 \right) \tilde{p} - \mathbf{i} \omega'_E x \tilde{p} - \chi'_{\parallel} x^2 \tilde{p} + \chi_{\perp} \partial_x^2 \tilde{p}$$

• when $i\omega'_E x$ term replaced by shift of instability threshold \rightarrow no oscillations

Time delay in stabilization by ExB shear flow

• Short term dynamics of initial pulse

with $-\partial_x \bar{p} = \alpha$ and χ'_{\parallel} term neglected.

• Solution :
$$\tilde{p} \propto \exp\left[\gamma_0' t - t^3/(3\tau_D^3)\right]$$

 $\gamma_0' = \gamma_0 (\alpha - \alpha_0), \quad \tau_D = \left(\frac{1}{4}\chi_\perp \omega_E'^2\right)^{-1/3}.$

- Transient growth before stabilization.
- τ_D large for small χ_{\perp} (barrier) and low m.
- Clearly observed in simulations (- curve).

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0D model reproduces oscillations Radial mode structure \neq linear mode

• From 1D model \rightarrow relevant radial structures: $\tilde{p}_R(x)$, $\tilde{p}_I(x)$, $\bar{p}_0(x)$ [linear mode in case $\partial_x \bar{p} = const$: $\tilde{p}_{lin} = \tilde{p}_R + i \tilde{p}_I$]

• Projection: $\tilde{p}(x,t) = a_R p_R + i a_I p_I$, $\bar{p}(x,t) = -\alpha x + a_0 \bar{p}_0$

- Amplitude equations: $\begin{array}{rcl}
 \dot{a}_{R} &= & (\Gamma - \delta_{1}a_{0}) a_{R} + \Omega_{1} (a_{R} - a_{I}) \\
 \dot{a}_{I} &= & (\Gamma - \delta_{2}a_{0}) a_{I} - \Omega_{2} (a_{I} - a_{R}) \\
 \dot{a}_{0} &= & -\gamma_{0}a_{0} + 2\delta_{1}a_{R}^{2} + 2\delta_{2}a_{I}^{2} \\
 &\Gamma = \gamma_{0} (\alpha - \alpha_{0}) - \gamma_{s} - \gamma_{E} \qquad \gamma_{s}^{2} = \chi_{\parallel}^{\prime}\chi_{\perp} \\
 &\Omega_{1} \approx \Omega_{2} \approx 2\gamma_{E} e^{-\gamma_{E}/\gamma_{s}} \qquad \gamma_{E} = \omega_{E}^{\prime 2}/(4\chi_{\parallel}^{\prime})
 \end{array}$
- same frequency dependence on ExB shear as in 3D simulations

Conclusions

- 3D nonlinear turbulence simulations based on 1st principles show
 - onset of transport barrier,
 - barrier relaxation oscillations.
- Mechanism based on effective time delay for stabilization by ExB shear, no obvious S-curve, no global mode.
- Mean features are reminiscent of type III ELMs:
 - frequency dependence,
 - resistive ballooning mode model (low temperature plasma),
 - sensitivity to shear flow.

turb. state \rightarrow relaxations \rightarrow quiescent st.	
	$\implies \omega_E$
no barrier \rightarrow	barrier